Calorie restriction and aging: review of the literature and implications for studies in humans

Leonie K Heilbronn and Eric Ravussin

ABSTRACT Calorie restriction (CR) extends life span and retards age-related chronic diseases in a variety of species, including rats, mice, fish, flies, worms, and yeast. The mechanism or mechanisms through which this occurs are unclear. CR reduces metabolic rate and oxidative stress, improves insulin sensitivity, and alters neuroendocrine and sympathetic nervous system function in animals. Whether prolonged CR increases life span (or improves biomarkers of aging) in humans is unknown. In experiments of nature, humans have been subjected to periods of nonvolitional partial starvation. However, the diets in almost all of these cases have been of poor quality. The absence of adequate information on the effects of good-quality, calorie-restricted diets in nonobese humans reflects the difficulties involved in conducting long-term studies in an environment so conducive to overfeeding. Such studies in free-living persons also raise ethical and methodologic issues. Future studies in nonobese humans should focus on the effects of prolonged CR on metabolic rate, on neuroendocrine adaptations, on diverse biomarkers of aging, and on predictors of chronic age-related diseases. Am J Clin Nutr 2003;78:361–9.

KEY WORDS Dietary restriction, energy expenditure, aging, life span, nonobese persons, oxidative stress, metabolic rate, insulin sensitivity, neuroendocrine axis

INTRODUCTION Evidence that calorie restriction (CR) retards aging and extends median and maximal life span was first presented in the 1930s by McCay et al (1). Since then, similar observations have been made in a variety of species including rats, mice, fish, flies, worms, and yeast (2, 3). Although not yet definitive, results from the ongoing calorie-restriction studies in monkeys also suggest that the mortality rate in calorie-restricted animals will be lower than that in control subjects (4–7). Furthermore, calorie-restricted monkeys have lower body temperatures and insulin concentrations than do control monkeys (4), and both of those variables are biomarkers for longevity in rodents. Calorie-restricted monkeys also have higher concentrations of dehydroepiandrosterone sulfate (4). The importance of dehydroepiandrosterone sulfate is not yet known, but it is suspected to be a marker of longevity in humans (8, 9), although this is not observed consistently (10). In humans, a major goal of research into aging has been the discovery of ways to reduce morbidity and delay mortality in the elderly (11, 12). The absence of adequate information on the effects of CR in humans reflects the difficulties involved in conducting long-term calorie-restriction studies, including ethical and methodologic considerations.

Naturally occurring episodes of CR in human populations are not uncommon in some parts of the world. However, it is important to note that most of these populations are exposed to energy-restricted diets that are lacking in protein and micronutrients. CR in these populations is often associated with short stature, late reproductive maturation (13), lower baseline gonadal steroid production in adults (14, 15), suppressed ovarian function (16), impaired lactation performance (17), impaired fecundity (18), and impaired immune function (19, 20). The pioneering studies by Keys et al (21) found that severe CR induced changes in many variables, including metabolic rate, pulse, body temperature, and blood pressure. However, that diet also was of poor quality and induced many adverse psychological effects.

A few observational studies reported the effects of prolonged CR, in the context of high-quality diets, on health and longevity. Kagawa (22) carefully analyzed data documenting the prevalence of centenarians on the island of Okinawa (Japan). Total energy consumed by schoolchildren on Okinawa was only 62% of the “recommended intake” for Japan as a whole. For adults, total protein and lipid intakes were about the same, but energy intake was 20% less than the Japanese national average. The rates of death due to cerebral vascular disease, malignancy, and heart disease on Okinawa were only 59%, 69%, and 59%, respectively, of those for the rest of Japan. Whereas these data are consistent with the hypothesis that CR increases life span in humans, there probably are other, unmeasured differences between Okinawa and mainland Japan, including genetic or other environmental factors. However, Okinawans who move away from the island (and presumably abandon their protective lifestyle patterns) have mortality rates higher than those in Okinawans who remain on the island (23). To our knowledge, only one study investigated the effects of long-term CR (with a diet of reasonable quality) on health and longevity in nonobese humans and a control group (24). This study was conducted in 120 men, of whom 60 were randomly assigned to the control group and 60 to the calorie-restricted group. The control group was fed ≈9600 kJ/d. Calorie-restricted subjects received 1 L milk and 500 g fruit every other day, which...
Increased activity was also associated with some traits of CR, including improved insulin sensitivity, decreased fat mass (FM), and reduced tumor incidence. Holloszy (29) observed that adding PA to CR did not interfere with the life-extension effects of CR. Currently, no data exist on whether PA (or a combination of CR and PA) will extend life span in humans. Observational studies suggest that PA improves the quality of life and prevents the onset of chronic disease (30, 31). Increasing PA to create a relative energy deficit may improve compliance and may be associated with a lower rate of attrition in humans.

Many of the effects of prolonged CR have been characterized (Table 1), but the mechanism or mechanisms by which CR increases life span are still debated. An initial hypothesis was that delayed sexual maturation might be one of those mechanisms through which CR exerts its effect on longevity, until it was shown that CR initiated in older animals also extends life span (51, 52). Reduced metabolic rate is another possible explanation for the anti-aging effects of CR, with the consequent reduction in free radicals underpinning this observation. However, many other metabolic alterations are associated with CR. For instance, short-term CR in humans and long-term CR in animals alter insulin sensitivity, the secretion of many hormones, and sympathetic nervous system activity. CR also alters the gene expression profile in muscle, heart, and brain. Any (or a combination) of these biological changes may retard aging, and evidence for each of these theories will be presented in this review.

**CALORIE RESTRICTION AND ENERGY METABOLISM**

CR is hypothesized to lessen oxidative damage by reducing energy flux and metabolism, or the “rate of living,” thereby influencing the aging process (53, 54). We know that CR results in a loss of weight and tissues and a reduction in the rate of metabolism. A portion of this decline is the result of reduced energy intake and the consequent decrease in the thermic effect of food, whereas another portion is due to the reduced size of the metabolizing mass. However, whether there is also a “metabolic adaptation,” defined here as a reduction in the metabolic rate that is out of proportion to the decreased size of the respiring mass, is a subject of continued debate. In their investigation of the biology of semistarvation, Keys et al (21) defined metabolic adaptation as “a useful adjustment to altered circumstances.” More recently, a 1985 FAO/WHO/UNU report proposed a definition of adaptation as “a process by which a new or different steady state is reached in response to a change or difference in the intake of food or nutrients” (55). In this context, the adaptation can be genetic, metabolic, social, or behavioral. The important question is whether CR reduces energy expenditure (EE) more than would be expected to result from the changes observed in FM and fat-free mass (FFM).

McCarter et al studied basal metabolic rate in rats after restricting their energy intake for 6 mo (56) and for their entire life span (57). Rats fed ad libitum and rats on a food-restricted regimen (40% CR) had similar metabolic rates, as measured per kilogram of FFM. However, these data were criticized by Lynn and Wallwork (58), who suggested that oxygen consumption should be adjusted for functional metabolic mass instead of for FFM. Furthermore, it is now well accepted that dividing EE by body size leads to a mathematical artifact because of the fact that the regression line between EE and body size does not go through the zero intercept (44, 59, 60). In contrast with the findings of McCarter et al, Ballor (32) observed a decrease in 23-h resting oxygen uptake.

**TABLE 1**

<table>
<thead>
<tr>
<th>Rodents</th>
<th>Nonhuman primates</th>
<th>Expected outcomes in nonobese humans</th>
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<td>DHEAS</td>
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<td>Insulin</td>
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<td>Total↑</td>
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<td>Sedentary↑</td>
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<td>Voluntary activity</td>
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<tr>
<td>Oxidative stress</td>
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<tr>
<td>Fat mass and fat-free mass</td>
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<tr>
<td>Visceral fat</td>
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<td>Intramyocellular lipids</td>
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<td>Insulin sensitivity</td>
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<td>IGF-1</td>
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<td>Thyroid axis</td>
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<td>HPA axis</td>
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<td>Somatotropic axis</td>
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<td>Sympathetic activity</td>
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1Measured effects of calorie restriction on the above markers of aging were obtained from references 32–43 for rodents and 4 and 44–50 for monkeys. DHEAS, dehydroepiandrosterone sulfate; IGF-1, insulin-like growth factor-1; HPA, hypothalamus-pituitary-adrenal.

2Effect not known.

3Adjusted for fat-free mass.

4No effect.

led to an overall mean energy intake of ≈6300 kJ/d (or a 35% restriction from the intake of the control group). This regimen was implemented for 3 y. Stunkard (25) reanalyzed these data and reported less time in the infirmary (123 compared with 219 d) and a nonsignificant difference in the death rate (6 compared with 13 reported less time in the infirmary (123 compared with 219 d) and a nonsignificant difference in the death rate (6 compared with 13
after 11 wk of moderate (25%) and severe (50%) CR. However, after correction was made for body weight, only severe CR lowered the metabolic rate, even when metabolic rate was expressed per kg$^{0.75}$. After 6 wk of severe (40%) CR, the mean metabolic rate was 14% lower after adjustment for body size (45). Taken together, these results indicate that the absolute metabolic rate declines after CR, but this reduction may be only transient after adjustment for changes in body weight and body composition (53). However, before a final conclusion can be drawn, most of these data should be reevaluated by using an appropriate method of normalizing metabolic rate for body size and composition (44).

Recently, when reanalyzing the data, Blanc et al (59) clearly showed that, in most instances and in all species, CR causes a decrease in resting EE. In fact, monkeys subjected to 11 y of CR had a reduced total EE (TEE) that was attributable to a 250 kJ/d reduction in resting EE, independent of reduced FFM (59). Other studies in monkeys showed that 30 mo of CR reduces nighttime and 24-h EE (61). Furthermore, 10 y of CR in monkeys also resulted in sustained reductions in TEE by doubly labeled water methods, even after correction for FFM (41).

The first experiments on the effect of energy restriction in humans were performed in lean men by Keys et al (21) in the 1950s. In these classic experiments, lean volunteers received 50% of their habitual intake for 24 wk. Basal metabolic rate was decreased after adjustment for body surface area (−31%), body weight (−20%), and cell mass (−16%). The reduced metabolic rate was paralleled by a reduction in temperature that indicated a real metabolic adaptation in these lean subjects (63). Most other studies investigating the effects of energy restriction on energy metabolism were performed in the obese. In several of these studies, a very-low-energy diet resulted in a decrease in basal metabolic rate that was still significant after adjustment for differences in body weight, FFM, or both (64–66). A meta-analysis of studies in formerly obese persons found a lower resting metabolic rate, even after adjustment for body size and body composition (67). Careful studies in formerly obese persons showed that energy turnover was ≈15% less than that in never-obese persons of the same body composition (68–71). In lean subjects, maintenance of body weight 10% below initial weight also reduced EE by 10–15%, even after adjustment for FFM (68). These results were confirmed by a direct measure of TEE using a respiratory chamber and the doubly labeled water method (72). Part of this adaptation may be related to the cost of PA, as elegantly shown by Weigle and Brunzell (71). It is relevant that we clearly identified a metabolic adaptation in the 5 persons from Biosphere 2 who agreed to participate in follow-up measures of energy metabolism after almost 2 y of CR (26). The subjects, measured within a week after their exit from Biosphere 2, had decreases in adjusted 24-h EE and spontaneous PA in a respiratory chamber when compared with 152 control subjects. However, within the confinement of Biosphere 2, TEE measured by doubly labeled water was not characteristically low. This was probably due to the relatively high level of PA required to keep Biosphere 2 in operation and to harvest food inside the enclosure.

In summary, there is evidence that a metabolic adaptation develops in response to CR and loss of weight in humans. The reason for the apparently paradoxical difference between rodents and humans with regard to an adaptation in EE in response to CR may be related to the erroneous way in which physiologists express rodent energy metabolism data (60) or to differences in metabolism between rodents and humans. Other possible reasons are that the methods for measuring human EE are more sensitive than are those for measuring rodent EE, and investigators can obtain the full cooperation of the subjects.

**CALORIE RESTRICTION AND BODY COMPOSITION**

CR prevents the increases in visceral FM and intramyocellular lipid deposition that are generally observed with aging (73, 74). In rodents, however, no association has been observed between FM and longevity in animals fed ad libitum, and, in fact, a positive correlation was observed between FM and longevity in calorie-restricted animals (75). This finding led to the conclusion that changes in FM brought about by long-term CR do not influence longevity.

In the past 5–10 y, much evidence of the importance of adipose tissue has appeared. Adipocytes secrete numerous cytokines that can affect substrate oxidation, EE, insulin sensitivity, and the neuroendocrine system (33). Furthermore, short-term CR in obese humans, independent of changes in FM, alters the expression of numerous adipocytokines (33), and this change is associated with an improvement in markers for age-related diseases such as atherosclerosis and type 2 diabetes. Whether alterations in body composition brought about by CR positively influence markers of longevity in nonobese subjects has not been investigated. Recently, Gabriely et al (76) observed that the surgical removal of visceral adipose tissue restored peripheral and hepatic insulin sensitivity in aging Zucker rats. We know that visceral fat is reduced by CR (77) and is related to improvements in insulin sensitivity in the obese. However, it is still debated whether the accumulation of visceral fat is the major cause of insulin resistance (78). Intramyocellular lipid concentrations are also related to insulin resistance in lean individuals (79). Intramyocellular triacylglycerol content is higher in obese persons than in nonobese persons, and it is reduced by weight loss (80, 81). Whether the improvements in insulin sensitivity in response to CR in nonobese persons are caused by a reduction in intramyocellular lipids is unresolved.

**CALORIE RESTRICTION AND OXIDATIVE STRESS**

The oxidative stress hypothesis of aging is supported by a number of observations: 1) life span is inversely correlated with metabolic rate in a wide variety of animals, and it is directly related to the amount of reactive oxygen species (ROS) produced (82); 2) overexpression of antioxidative enzymes or activation of defensive mechanisms against oxidative stress retards aging and extends life span in some organisms (83, 84); and 3) CR reduces oxidative stress in various species, including mammals (3, 34, 85).

Normal energy metabolism in aerobic organisms is coupled to the generation of ROS. In fact, 2–5% of oxygen consumption is not associated with the oxidative metabolism of fuels but is associated with the production of highly reactive oxygen molecules such as the superoxide radical (O$_2^-$), hydrogen peroxide, and the hydroxyl radical (OH). Therefore, reducing metabolic rate by using CR may reduce oxygen consumption, which could decrease ROS formation and potentially increase life span.

Steady state measures of oxidative damage represent equilibrium among the rate of ROS generation, the rate of oxygen scavenging, and the rate of repair. Aging may therefore be retarded not only by a decrease in the production of ROS, but also by an increase in the removal of ROS by the mechanisms described above. For example, a transgenic fly that overexpresses both
superoxide dismutase and catalase has a 30% extension of life span associated with a lower amount of protein oxidative damage and a delayed loss of physical performance (83, 86). Similar results were obtained in Caenorhabditis elegans with low-molecular-weight synthetic superoxide dismutase and catalase mimetics (84). However, there is so far no report in mammals of the extension of life span in transgenic mice that overexpress catalase or superoxide dismutase. The p66shc knockout mouse has an extended life span caused by a gene deletion that may be directly related to the cellular response to oxidative stress (87). Superoxide dismutase–heterozygous knockout mice on the other hand, have greater amounts of DNA damage [evidenced by elevated 8-oxoguanine (8-oxoG)], but median and maximal life spans did not differ from those of control mice (A Richardson, personal communication, 2002).

Currently, no standard measures for assessing oxidative damage are established. One method of determining the amount of protein oxidation induced by ROS is to measure carbonyl groups in serum (88). In obese humans, protein carbonylation has been significantly associated with age and was reduced after 4 wk of CR (89). ROS also increases the amount of lipid peroxidation. Isoprostanes are prostaglandin-like products of arachidonic acid peroxidation that circulate in plasma and are excreted in urine (90). Urinary isoprostanes (8-iso–prostaglandin F2α) are higher in smokers (90, 91), in persons who consume alcohol (92), and in ischemia-reperfusion syndromes (93), Alzheimer disease (94), and chronic obstructive pulmonary disease (95), and there is some evidence that isoprostanes are higher with aging (96). There is also evidence that Okinawan centenarians have lower rates of lipid peroxidation than do Okinawan septuagenarians, which indicates less free radical attack [Internet: http://okinawaprogram.com/study.html (accessed 17 January 2003)]. Furthermore, urinary isoprostanes were increased in obese women compared with control subjects and were significantly reduced by weight loss (97).

Much attention has been paid to the effects of ROS on DNA damage. ROS can induce the formation of several base adducts in DNA, which are implicated in mutagenesis, carcinogenesis, and neurologic disorders (98). Of major interest is the fact that the amount of DNA damage correlates with the metabolic rate in various animals, which suggests that ROS generated by aerobic energy metabolism may be a major cause of spontaneous DNA damage (99). An abundant marker of DNA damage by free radical attack is 8-oxoG (100). Free radical attack on DNA can also give rise to baseless (apurinic/apyrimidinic) sites. Moreover, the repair of 8-oxoG can give rise to apurinic/apyrimidinic sites as an intermediate in their repair. The presence of apurinic/apyrimidinic sites in DNA can cause mutations (101, 102) or be lethal to the cell (101). Notably, the formation of both 8-oxoG and apurinic/apyrimidinic sites increases with age (103, 104), and a close association between oxidative DNA damage (assessed by the urinary excretion of 8-oxoG) and oxygen consumption in healthy premenopausal women was observed (105). Therefore, DNA damage from ROS produced by energy metabolism is a potential cause of natural aging. Whether CR reduces protein, lipid, or DNA damage in obese humans has yet to be investigated.

**CALORIE RESTRICTION AND CARDIOVASCULAR DISEASE RISK**

Atherosclerosis is now recognized as an inflammatory disease (106). The initiating event in the progression of atherosclerosis is believed to be the development of endothelial dysfunction. Potential causes of endothelial dysfunction include elevated concentrations of oxidatively modified LDL, the generation of free radicals, hypertension, diabetes, and elevated concentrations of homocysteine. The injured endothelium responds to these various insults by developing procoagulant instead of anticoagulant properties and by secreting a number of cytokines and growth factors. The release of these factors leads to the sequestration and accumulation of lymphocytes and macrophages from the blood and to the migration and proliferation of underlying smooth muscle cells. Thus, in addition to the well-recognized cardiovascular disease risk factors including lipids, lipoproteins (LDL and HDL cholesterol and triacylglycerol), and blood pressure, other factors, including hemostasis factors (eg, factor VII, fibrinogen, and plasminogen activator inhibitor type 1), C-reactive protein, and homocysteine, are predictive of cardiovascular disease events (107).

Blood pressure is decreased by CR in the obese (108) and in chronically undernourished laborers (109). Landsberg and Young (110, 111) showed that CR is associated with a decrease in plasma norepinephrine concentration, decreased excretion of catecholamines, and evidence of diminished sympathetic activity. Similar results were found in normal-weight subjects exposed to short-term CR by norepinephrine turnover measures (112). It is likely, therefore, that the decrease in blood pressure during CR is mediated by decreases in insulin concentration and sympathetic nervous activity (113). Short-term CR does not affect concentrations of triacylglycerol or total or LDL cholesterol in nonobese subjects (113, 114), although HDL cholesterol was increased in proportion to the decrease in body weight (113, 115). Long-term CR, on the other hand, was associated with sustained reductions in these factors in nonobese subjects, although HDL-2 concentrations were increased (27). CR may also influence the endothelial function of the vasculature. Recently, Perticone et al (116) reported that endothelial dysfunction, often seen in obese or overweight subjects, is due to oxidative stress (117–119) and can be reversed by acute administration of the potent antioxidant vitamin C. CR also improves endothelium-dependent vasodilatation in obese hypertensive subjects (120). It is therefore logical to hypothesize that CR will improve endothelial function in the nonobese, probably via a decreased production of ROS. CR also reduces markers for inflammation (eg, C-reactive protein, interleukin 6, and plasminogen activator inhibitor type 1) in obese (121–123) and nonobese subjects (124). However, homocysteine concentrations are significantly increased by short-term CR, although this was preventable with vitamin supplementation (125). The effects of long-term CR on markers for inflammation are unknown.

**CALORIE RESTRICTION AND INSULIN SENSITIVITY AND SECRETION**

Reduced glucose and insulin are hallmark features of CR in rodents and monkeys. There is compelling evidence that CR and the consequent weight loss in the obese (diabetic and nondiabetic alike) greatly improve glucose metabolism by improving insulin action. In a comprehensive review, Kelley (126) concluded that weight loss in obese patients with type 2 diabetes not only reduces fasting hyperglycemia (ie, reduction of postabsorptive hepatic glucose production) but also increases insulin sensitivity (ie, glucose uptake) in peripheral tissues. Whether β cell sensitivity to glucose remains intact with aging is unclear. However, the
down-regulation (142), an increase in cortisol secretion (CR or starvation) are well described in rodent models, and the axes and autonomic nervous system.

The endocrine changes associated with short-term caloric deprivation (CR or starvation) are well described in rodent models, as recently reviewed by Shimokawa and Higami (141). Many of these alterations were described in humans as well and include a drop in triiodothyronine (142), an increase in cortisol secretion, and insulin sensitivities (139), including the ectopic fat hypothesis, which has arisen from the observation that subjects without fat (a condition known as lipodystrophy) have severe insulin resistance (140). Most convincing data that long-term CR is an effective means of avoiding the development of insulin resistance that occurs with aging are from monkey studies (6, 7, 46, 127). Calorie-restricted monkeys had greater insulin sensitivity and increased plasma glucose disappearance rates by the minimal model than did control monkeys at the 8.5-y follow-up (47). Calorie-restricted monkeys also had reduced fasting insulin and a reduced insulin response to glucose. Long-term CR also reduced fasting glucose and insulin concentrations in the lean subjects from the Biosphere 2 experiment (128, 129). Unfortunately, performing more stringent measures of insulin sensitivity was not possible in these subjects.

Whether the improvement in insulin sensitivity is a mechanism by which CR increases life span is a subject of continued debate. It has been proposed that increased insulin and glucose concentrations may contribute to the aging process—insulin because of its mitogenic action (130) and glucose because of protein glycation. This hypothesis, proposed by Masoro and Austad (131), has yet to be tested. However, insulin is known to alter the expression of numerous other hormones, stimulate the sympathetic nervous system, and promote vasoconstriction, all of which could potentially affect longevity. Indeed, fat-specific insulin receptor knockout mice, which have normal or even increased food intake and reduced adiposity, have increased median and maximal life expectancy (132).

In summary, there is evidence that CR in obese and lean subjects alike improves insulin sensitivity. Physiologic mechanisms for this improvement may include decreases in circulating fatty acid concentrations (133), intramyocellular triacylglycerol (79, 134, 135), and secreted cytokines from adipocytes (136–138). Potential molecular mechanisms involved in the relation between fat “at the wrong place” and insulin sensitivity (139), including the ectopic fat hypothesis, which has arisen from the observation that subjects without fat (a condition known as lipodystrophy) have severe insulin resistance (140).

CALORIE RESTRICTION AND GENE EXPRESSION

Gene expression profiling with the use of DNA microarrays has revealed that aging is associated with several alterations in gene expression in rodent skeletal muscle (142), brain (159), and heart (160) and that CR prevents many of these changes. Transcriptional patterns suggest that CR retards aging by causing a metabolic shift toward increased protein turnover and decreased macromolecular damage (161). Several of these genes are also dysregulated during aging in humans (162).
Recently, skeletal muscle gene expression in aged primates was compared with that in young animals (48). Aging selectively up-regulated transcripts involved in inflammation and oxidative stress and down-regulated genes involved in mitochondrial electron transport and oxidative phosphorylation. CR up-regulated cytoskeletal protein–encoding genes and decreased the expression of genes involved in mitochondrial bioenergetics, but, surprisingly, the inhibitory effect of CR on age-related changes in gene expression was not observed (48). The effects of prolonged CR on gene expression profiles in human skeletal muscle and adipose tissue are unknown.

### FUTURE STUDIES IN HUMANS

As reviewed here, CR leads to numerous changes in animal models, including alterations in body composition, EE, oxidative damage, cardiovascular disease, insulin sensitivity, neuroendocrine function, and gene expression. Because of the pluripotent nature of CR, the mechanism or mechanisms by which CR extends lifespan are still very much debated. Furthermore, it is not known whether CR extends longevity in long-lived species. Randomized controlled trials investigating the effects and possible mechanisms of prolonged CR in nonobese humans are long overdue. The clinical trial named CALERIE (Comprehensive Assessment of Long-Term Effects of Reducing Intake of Energy), funded by the National Institute of Aging and initiated in 2002, will address this gap by examining the effects of chronic CR on surrogate markers for longevity in nonobese humans.

### REFERENCES


CALORIE RESTRICTION AND AGING


