

# A common haplotype in the complement regulatory gene factor H (*HF1/CFH*) predisposes individuals to age-related macular degeneration

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Age-related macular degeneration (AMD) is the most frequent cause of irreversible blindness in the elderly in developed countries. Our previous studies implicated activation of complement in the formation of drusen, the hallmark lesion of AMD. Here, we show that factor H (HF1), the major inhibitor of the alternative complement pathway, accumulates within drusen and is synthesized by the retinal pigmented epithelium. Because previous linkage analyses identified chromosome 1q25-32, which harbors the factor H gene (*HF1/CFH*), as an AMD susceptibility locus, we analyzed *HF1* for genetic variation in two independent cohorts comprised of ≈900 AMD cases and 400 matched controls. We found association of eight common *HF1* SNPs with AMD; two common missense variants exhibit highly significant associations (I62V,  $\chi^2 = 26.1$  and  $P = 3.2 \times 10^{-7}$  and Y402H,  $\chi^2 = 54.4$  and  $P = 1.6 \times 10^{-13}$ ). Haplotype analysis reveals that multiple *HF1* variants confer elevated or reduced risk of AMD. One common at-risk haplotype is present at a frequency of 50% in AMD cases and 29% in controls [odds ratio (OR) = 2.46, 95% confidence interval (1.95–3.11)]. Homozygotes for this haplotype account for 24% of cases and 8% of controls [OR = 3.51, 95% confidence interval (2.13–5.78)]. Several protective haplotypes are also identified (OR = 0.44–0.55), further implicating HF1 function in the pathogenetic mechanisms underlying AMD. We propose that genetic variation in a regulator of the alternative complement pathway, when combined with a triggering event, such as infection, underlie a major proportion of AMD in the human population.

Age-related macular degeneration (AMD) is the leading cause of irreversible vision loss (1, 2), affecting ≈50 million individuals worldwide. AMD is characterized by a progressive loss of central vision attributable to degenerative and neovascular changes that occur at the interface between the neural retina and the underlying choroid. At this location are the retinal photoreceptors, the retinal pigmented epithelium (RPE), a basement membrane complex known as Bruch's membrane (BM) and a network of choroidal capillaries.

The prevailing view is that AMD is a complex disorder stemming from the interaction of multiple genetic and environmental risk factors (3, 4). Familial aggregation studies indicate that a genetic contribution can be identified in up to 25% of the cases (5). Thus, AMD appears to be a product of the interaction between multiple susceptibility loci rather than a collection of single-gene disorders. The number of loci involved, the attributable risk conferred, and the interactions between various loci remain obscure.

Linkage analyses and candidate gene screening have provided limited insight into the genetics of AMD. Reliable associations of *ABCA4* (6, 7) and *ApoE* (8, 9) have been reported. A recent study suggests a minor association with *Fib15* (10), although this has yet to be confirmed. Genome-wide linkage analyses (4, 11) have linked one AMD phenotype (ARMD1; MIM 603075) to chromosomal region 1q25-q31 (12). *Fib16* has been tentatively identified as the causal gene (13), although it does not account for a significant disease load (14, 15). The identification of overlapping loci on chromosome 1q by several groups (11, 16) indicates that this locus likely harbors a major AMD-associated gene.

In AMD and diseases such as Alzheimer's (17), atherosclerosis (18), and glomerulonephritis (19), characteristic lesions and deposits contribute to disease pathogenesis and progression. Although the molecular bases of these diseases may be diverse, the deposits contain many shared molecular constituents that are attributable, in part, to local inflammation and activation of the complement cascade, a key element of the innate immune system in host defense. Drusen are the hallmark deposits associated with early AMD (eAMD), and recent studies have implicated local inflammation and activation of the complement cascade in their formation (20–30). Drusen contain complement activators, inhibitors, activation-specific complement fragments, and terminal pathway components, including the membrane attack complex (MAC). The MAC is a lytic complex that is lethal to foreign pathogens but also to local host cells and tissues in various disease processes.

Individuals with membranoproliferative glomerulonephritis (MPGN) type II (MPGNII), a rare (≈1:1,000,000) kidney disease characterized by uncontrolled activation of the alterna-

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Abbreviations: AMD, age-related macular degeneration; eAMD, early AMD; RPE, retinal pigmented epithelium; MAC, membrane attack complex; BM, Bruch's membrane; MPGN, membranoproliferative glomerulonephritis; SSCP, single-strand conformation polymorphism; GA, geographic atrophy; IR, immunoreactivity; OR, odds ratio; CI, confidence interval.

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Table 1. HF1 SNP association with AMD

Location	Designation	dbSNP ID	Iowa cohort						Columbia cohort						
			Cont, <i>n</i>	Case, <i>n</i>	$\chi^2$	<i>P</i>	OR	95% CI	Cont, <i>n</i>	Case, <i>n</i>	$\chi^2$	<i>P</i>	OR	95% CI	
Promoter	Promoter	rs3753394	—	—	—	—	—	—	—	264	549	2.84	0.089	1.23	0.98–1.52
IVS1	IVS1	rs529825	—	—	—	—	—	—	—	266	547	25.4	$4.66 \times 10^{-7}$	1.92	1.49–2.48
Exon 2	I62V	rs800292	68	228	15	$1.1 \times 10^{-4}$	2.79	1.67–4.65	261	546	26.1	$3.21 \times 10^{-7}$	1.95	1.51–2.52	
IVS2	insTT	—	126	390	22.21	$2.44 \times 10^{-6}$	2.38	1.65–3.44	273	549	28.4	$9.87 \times 10^{-8}$	2.04	1.57–2.63	
IVS6	IVS6	rs3766404	—	—	—	—	—	—	—	271	546	23.0	$1.59 \times 10^{-6}$	2.11	1.56–2.85
Exon 7	A307A	rs1061147	131	404	49.4	$2.09 \times 10^{-12}$	2.82	2.11–3.78	262	547	59.6	$1.16 \times 10^{-14}$	2.34	1.89–2.91	
Exon 9	Y402H	rs1061170	131	403	49.4	$2.09 \times 10^{-12}$	2.82	2.11–3.78	272	549	54.4	$1.64 \times 10^{-13}$	2.25	1.79–2.75	
Exon 10	A473A	rs2274700	68	221	35.14	$3.07 \times 10^{-9}$	3.42	2.27–5.15	264	542	45.4	$1.61 \times 10^{-11}$	2.10	1.69–2.61	
IVS10	IVS10	rs203674	—	—	—	—	—	—	—	264	545	66.1	$4.29 \times 10^{-16}$	2.44	1.97–3.03
Exon 13	Q672Q	rs3753396	129	404	0.21	0.65	1.12	0.76–1.64	265	545	2.05	0.15	1.24	0.94–1.65	
Exon 18	D936E	rs1065489	67	223	0.64	0.8	0.809	0.51–1.56	264	536	0.53	0.46	1.12	0.85–1.49	

The frequency of allele 1 and allele 2 from each SNP was compared between cases and controls (Cont) and the Yates  $\chi^2$  and *P* values were calculated along with the OR and 95% confidence interval (95% CI). The actual counts of each genotype are provided in Table 3. —, no data available.

(DHPLC) and direct sequencing. Primers for SSCP, DHPLC, and DNA sequencing analyses (Table 2, which is published as supporting information on the PNAS web site) were designed to amplify each exon and its adjacent intronic regions with MACVECTOR software (Accelrys, San Diego). PCR-derived amplicons were screened for sequence variation as described in refs. 6 and 15. All changes detected by SSCP and DHPLC were confirmed by bidirectional sequencing according to standard protocols. Statistical analyses, including  $\chi^2$  and Fisher's exact tests were performed as described in ref. 38. Detailed protocols are provided in *Supporting Text: Statistical Analyses*, which is published as supporting information on the PNAS web site.

**Genotyping.** SNPs were discovered through data mining (Ensembl database, dbSNP; Celera Discovery System, Applid Biosystems) and through sequencing. Assays for variants with >10% frequency in test populations were purchased from Applied Biosystems as Validated, Inventoried SNP Assays-On-Demand or submitted to an Applied Biosystems Assays-By-Design pipeline. The technique used was identical to that described in ref. 37. Briefly, 5 ng of DNA were subjected to 50 cycles on an Applied Biosystems 9700 384-well thermocycler, and plates were read in an Applied Biosystems 7900 HT Sequence Detection System.

## Results

**Factor H at the RPE-Choroid Interface.** The distribution of HF1 within the macular and extramacular RPE/choroid complex was assessed in the eyes of six donors with early AMD and three donors of similar age without AMD or drusen (Fig. 1). In donors with AMD, intense and specific HF1 immunoreactivity (IR) was present in drusen, the subRPE space, and around the choroidal capillaries (Fig. 1*A–E* and *G*). HF1 antibodies generally labeled drusen homogeneously (Fig. 1*C* and *E*). In some cases, substructural elements within drusen (Fig. 1*A* and *B*) react with antibodies against C3 fragments (e.g., iC3b) that are known HF1 ligands (25, 27). HF1 IR is more robust in donors with AMD compared with age-matched controls and most pronounced within the macula (Fig. 1*G* and *H*). The distribution of HF1 in the macula (Fig. 1*G*) was highly similar to that of C5b-9 (Fig. 1*I–K*); in both cases, labeling included the choroidal capillaries. Extramacular locations showed less HF1 and C5b-9 IR (Fig. 1*J*). Little or no C5b-9 IR in the RPE/choroid was observed in donors under the age of 50 and without AMD (Fig. 1*L*).

**The RPE Is a Local Source of HF1.** Appropriately sized amplicons for *HF1* and *FHL1* (truncated isoform) gene products were generated from cultured human RPE and from freshly isolated RPE

and the RPE/choroid complex, but not neural retina, derived from donor eyes with and without AMD (Fig. 4, which is published as supporting information on the PNAS web site). Real-time quantitative RT-PCR assays confirmed that transcripts for *HF1* and *FHL1* are abundant in the RPE and choroid, approaching levels observed in the liver (Fig. 5, which is published as supporting information on the PNAS web site).

**HF1 Variants Are Associated with AMD.** To determine whether variants of *HF1* are associated with AMD, all 22 coding exons and their 50- to 100-bp flanking intronic sequences were screened by SSCP in the University of Iowa cohort (some exons were screened in a 50% subset of the cohort; see Table 1 and Table 3, which is published as supporting information on the PNAS web site). A total of 26 sequence variants were detected: 17 SNPs in the coding region, including 5 synonymous and 12 nonsynonymous substitutions, and 9 intronic SNPs (Fig. 2). Coding-region SNPs included previously described common nonsynonymous variants, such as I62V in exon 2, Y402H in exon 9, and D936E in exon 18 (Figs. 2 and 3 and Table 1). A common variant in the intron 2 splice acceptor site, IVS2-18insTT, was also detected. Five rare (<0.5%) variants were also detected (data not shown) in AMD patients and controls, suggesting no significant role for rare *HF1* alleles in the disorder. Detailed genotyping data were generated for seven of these SNPs in the Iowa cohort (Fig. 2 and Tables 1 and 3; see also Table 4, which is published as supporting information on the PNAS web site), and association analyses were performed by using a case-control study design. Highly significant associations with AMD were found with several variants, including the nonsynonymous I62V ( $\chi^2 = 15.0$ ,  $P = 1.1 \times 10^{-4}$ ) and Y402H ( $\chi^2 = 49.4$ ,  $P = 2.1 \times 10^{-12}$ ) variants and the IVS2 variant ( $\chi^2 = 22.2$ ,  $P = 2.4 \times 10^{-6}$ ) (Table 1). The strongest association with AMD in this cohort was observed with the synonymous A473A variant in exon 10 [odds ratio (OR) = 3.42, 95% confidence interval (CI) (2.27–5.15)].

The same two nonsynonymous SNPs were highly associated with AMD in an independent cohort from Columbia University (I62V:  $\chi^2 = 26.1$ ,  $P = 3.2 \times 10^{-7}$  and Y402H:  $\chi^2 = 54.4$ ,  $P = 1.6 \times 10^{-13}$ ) (Table 1). Several additional intronic SNPs were selected (based on their frequency and the availability of commercial assays) in addition to those examined in the Iowa cohort and screened in the Columbia cohort (for a total of 11 SNPs). The strongest association in this cohort was observed with SNP rs203674 in IVS10 ( $\chi^2 = 66.1$ ,  $P = 4.29 \times 10^{-16}$ ) [OR = 2.44, 95% CI (1.97–3.03)]. Although the OR is modest, the variant was very common; 30.5% of the cases were homozygous for allele B, compared with 12.9% of the controls. The Q672Q and D936E



ation was prominent in cases with eAMD and choroidal neovascularization. The GA group deviated from the general trend in some cases, especially with the haplotype defined by exon 13 (Q672Q) and 18 (D936E) alleles (data not shown). Although this deviation may be significant in terms of varying etiology, it did not reach statistical significance, most likely because of the relatively small numbers of GA cases examined.

Linkage disequilibrium (LD) analysis performed on both cohorts showed extensive LD across an extended region of *HF1*. Results of the Columbia cohort are shown in Fig. 3; complete genotyping data for all samples are available upon request (*Supporting Text: Statistical Analyses*). Three SNPs in the exon 2–3 region were in virtually complete LD, as were the A307A and Y402H variants in exons 7 and 9 and the Q672Q and D936E variants in exons 13 and 18. Haplotype estimation in cases and controls identified the most frequent at-risk haplotype in 50% of cases versus only 29% of controls [OR = 2.46, 95% CI (1.95–3.11)]. Homozygotes for this haplotype were present in 24.2% of cases and 8.3% of the controls [OR = 3.51, 95% CI (2.13–5.78)]. Two common protective haplotypes were found in 34% of controls and 18% of cases [OR = 0.48, 95% CI (0.33–0.69) and OR = 0.54, 95% CI (0.33–0.69)]. The SNPs that distinguish the risk and protective haplotypes are mainly contained in a region between exons 2 and 11; SNPs outside this area, e.g., the promoter SNP and the SNPs in exons 13 and 18, add little effect.

## Discussion

**HF1 Polymorphisms in AMD and MPGNII.** The data presented here link a major proportion of AMD cases in two independent cohorts to specific polymorphisms in the complement regulatory gene *HF1/CFH* (39–41). Haplotype analysis shows the most frequent at-risk haplotype is present in half of individuals with AMD, compared with 29% of controls. The magnitude of the observed association is striking when compared to those of genetic abnormalities previously linked to AMD (6, 7, 12–14). The frequencies and extent of SNP associations are similar in the two cohorts; several SNPs show highly significant association with AMD in each. The associations are particularly strong in individuals with eAMD and choroidal neovascularization and less so for individuals with GA. No other associations with specific ocular phenotypes were discovered. Several protective haplotypes were also identified, further implicating *HF1* function in AMD pathogenesis.

Individuals with MPGNII, a rare renal disease associated with HF1 deficiency, also develop early onset macular drusen, the hallmark lesion of AMD. The same 11 SNPs shown in Table 1 were also genotyped in 20 unrelated MPGNII patients. Approximately 70% of the MPGNII cases harbored the *HF1* at-risk haplotype, providing further support for the concept that specific *HF1* haplotypes are a key factor in drusen formation and confer increased susceptibility to macular pathology associated with AMD.

**Functional Implications of HF1 Polymorphisms.** Most of the AMD-associated *HF1* SNPs lie within important functional domains of the encoded protein (Fig. 2), consisting of 20 short consensus repeats (SCR). The SCRs contain binding sites for C3b, heparin, sialic acid, and C-reactive protein (Fig. 2). Thus, these SNPs might affect HF1 function through variability in expression levels, binding efficiencies, and/or other properties. For example, the exon 2 I62V variant is located in SCR2, which includes a C3b binding site, and the exon 9 Y402H variant lies within SCR7 domain, which binds heparin and C-reactive protein. Interestingly, analysis of the effect of the TT insertion in the IVS2 splice site variant (<https://splice.cmh.edu>) suggests creation of a new cryptic splice acceptor 6 bp upstream of the natural acceptor site. Some of the studied SNPs might also affect the expression of HF1 isoforms. For example, I62V is present in a predicted exon splice enhancer (42). The functional consequences of other common SNPs might be modest because they

are involved in late-onset phenotypes and not subjected to rigorous evolutionary constraint (43).

*HF1* variants with more substantial effects are implicated in earlier-onset, severe diseases, such as atypical hemolytic uremic syndrome (aHUS) (40, 41, 44–46). *HF1* mutations that lead to aHUS are typically missense mutations that limit the inhibitory functions of FH1. Although putative disease-causing mutations have been identified in only ≈25–35% of aHUS patients after complete screening of *HF1* (44), a disease-associated haplotype defined by variants –257C>T (promoter), A473A (exon 13), and D936E (exon 18) predominates in aHUS patients without identifiable disease-causing mutations (44). The at-risk haplotype in aHUS does not overlap with that of AMD and/or MPGN. It is noteworthy that aHUS mutations cluster in the 3' end of the *HF1* gene, which produces only full-length HF1. In contrast, the AMD at-risk haplotype is located in regions that produce the full-length HF1 and truncated (FHL1) proteins. Thus, it may be important to determine the role of these two *HF1*-derived proteins in AMD.

The presence of the at-risk *HF1* haplotype, in combination with an infectious agent or atypical activator of the alternative pathway, might substantially increase one's susceptibility to disease. It is plausible that different forms of the *HF1* gene emerged in response to pathogens that activate the alternative complement pathway. Weakly acting *HF1* haplotypes could provide reduced complement inhibition and stronger protection against bacterial infection. Weak alleles could also predispose individuals to the host cell/tissue damage that can be a consequence of complement activation. The combined effect of these factors most likely determines the severity of the resulting disease phenotype, which ranges from AMD to MPGN.

**Biological Model of HF1 Dysfunction in AMD.** A primary function of the complement system is to provide defense against infectious agents (47–49). Activation of complement triggers an amplifying, proteolytic cascade that leads to modifications of activating surfaces, the release of soluble proinflammatory anaphylatoxins and to the formation of the MAC, a macromolecular complex that promotes cell lysis through the formation of transmembrane pores. Uncontrolled activation of complement can lead to bystander damage in host cells and tissues. As a result, HF1 and other circulating and membrane-associated proteins have evolved to modulate the system (48).

A spectrum of complement components, including terminal pathway complement components, activation-specific fragments, and modulators, has been identified either within drusen (and/or adjacent RPE cells), along BM, and/or associated with the choriocapillaris in AMD (21–24, 26–28, 50, 51). There is evidence that cell-mediated events may also contribute to this process (29, 52–54).

Here, we show that HF1, a modulator of the C3 component of complement, is also a constituent of drusen in human donors with a history of AMD. HF1 colocalizes with its ligand C3b/iC3b in amyloid-containing substructural elements within drusen (Fig. 6, which is published as supporting information on the PNAS web site), implicating these structures as candidate complement activators within the subRPE space (25, 50). HF1 and MAC, as shown by C5b-9 IR, codistribute at the RPE–choroid interface and are most robust in the macular regions of eyes from donors with prior histories of AMD.

The strikingly similar distributions of HF1 and C5b-9 imply that significant amounts of MAC are generated and deposited at the RPE–choroid interface. This finding suggests that the HF1 protein encoded by the at-risk *HF1* haplotype(s) may have attenuated complement inhibitory function. HF1 variants associated with AMD may put RPE and choroidal cells at sustained risk for alternative pathway-mediated complement attack. These findings are consistent with the fact that AMD pathology is manifested primarily in the macula and that complement acti-

vation at the level of BM is a key element in the process of drusen formation and the disruption of BM integrity (55) that is associated with late-stage neovascular AMD.

The data obtained in this study may also provide insights regarding the roles of established risk factors for AMD, such as smoking history, the most consistently documented AMD risk factor (1, 2). Cigarette smoke has been shown to activate the alternative complement pathway through the modification of C3 *in vitro* (56). Similar processes acting *in vivo* could promote inflammatory events at the RPE–choroid interface in the eye that hasten drusen formation and exacerbate the genetic susceptibility to AMD that is conferred by the at-risk *HF1* haplotype.

The results of this investigation provide strong evidence that a specific common haplotype of the complement regulator *HF1* predispose individuals to AMD. The results also implicate abnormalities in *HF1*-mediated regulation of alternative pathway complement activation and pathogenic agents that activate the system in a substantial proportion of AMD cases. Thus, molecules involved in complement activation and its regulation become prime targets for therapeutic intervention in AMD.

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